

# H-type Foliations

Gianmarco Vega-Molino

Universitetet i Bergen

29 September, 2021

# Differential Geometry

Recall that Differential geometry is the study of generalized Euclidean spaces. We generally have the following structures:

- Topological: Notion of “nearness” for points
- Manifold: Local homeomorphisms to  $\mathbb{R}^n$
- Smooth: Derivatives of functions are well-defined

# Riemannian Geometry

This is insufficient to allow for a notion of distance; the typical approach is to introduce a Riemannian metric.

## Definition

To each point  $p \in \mathbb{M}$  assign to the tangent space  $T_p\mathbb{M}$  a symmetric positive-definite bilinear form  $g_p$  that smoothly varies with  $p$ .

We call  $g$  a Riemannian metric and the pair  $(\mathbb{M}, g)$  a Riemannian manifold.

For a vector  $v \in T_p\mathbb{M}$ , we define a norm  $\|v\|_p = \sqrt{g_p(v_p, v_p)}$ .

# Connections

Denoting the space of vector fields  $\mathfrak{X}(M)$ , an operator

$$\nabla: \mathfrak{X}(M) \times \mathfrak{X}(M) \rightarrow \mathfrak{X}(M)$$

such that

- 1  $\nabla_{fX+Y}Z = f\nabla_XZ + \nabla_YZ$
- 2  $\nabla_X(fY) = df(X)Y + f\nabla_XY$

is called a connection.

# Curvature

Equipped with a connection, we can define curvature:

- Riemannian Curvature:

$$R(X, Y)Z = \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{\nabla_X Y} Z + \nabla_{\nabla_Y X} Z$$

- Sectional Curvature: (for orthonormal  $X, Y$ ):

$$S(X, Y) = g(R(X, Y)Y, X)$$

- Ricci Curvature:

$$Ric(X, Y) = Tr_g(Z \mapsto R(Z, X)Y)$$

- Scalar Curvature:

$$K = Tr_g(Ric)$$

# Levi-Civita Connection

While there are many possible connections on a manifold, there is one in particular of interest in Riemannian geometry.

## Theorem (Fundamental Theorem of Riemannian Geometry)

*There exists a unique connection  $\nabla$  such that*

- 1  $(\nabla_X g)(Y, Z) := X \cdot (g(Y, Z)) - g(\nabla_X Y, Z) - g(Y, \nabla_X Z) = 0$
- 2  $T(X, Y) := \nabla_X Y - \nabla_Y X - [X, Y] = 0$

This connection is called the Levi-Civita connection.

## Towards sub-Riemannian Motivations

If we regard curves as describing "motions" in a manifold, what happens when we impose restrictions? We can do this by requiring that curves only move in proscribed directions, given by a subbundle of the tangent space.

### Definition

Let  $\mathcal{H} \subseteq T\mathbb{M}$  be a constant rank subbundle. A curve  $\gamma: [0, 1] \rightarrow \mathbb{M}$  such that  $\dot{\gamma} \in \mathcal{H}$  is called horizontal.

Suppose moreover that that sufficiently many brackets of vector fields in  $\mathcal{H}$  generate the entire tangent space. We call  $E$  a bracket-generating distribution.

### Theorem (Chow-Rashevskii)

*Any two points in  $\mathbb{M}$  can be connected by a horizontal curve if and only if  $\mathcal{H}$  is bracket-generating.*

# Basic Definitions, sub-Riemannian Geometry

Let  $\mathbb{M}$  be a smooth manifold. We say that

- $(\mathbb{M}, \mathcal{H}, g_{\mathcal{H}})$  is a sub-Riemannian manifold if
  - $\mathcal{H}$  is a constant rank, bracket generating subbundle of  $T\mathbb{M}$ ,
  - and  $g_{\mathcal{H}}$  is a inner product on  $\mathcal{H}$ .
- $(\mathbb{M}, \mathcal{H}, g)$  is a sub-Riemannian manifold with metric preserving complement or sRmc-manifold if
  - $(\mathbb{M}, g)$  is a Riemannian manifold,
  - the metric orthogonally splits as  $g = g_{\mathcal{H}} \oplus g_{\mathcal{V}}$ ,
  - and  $(\mathbb{M}, \mathcal{H}, g_{\mathcal{H}})$  is a sub-Riemannian manifold.

We denote by  $\mathcal{V}$  the orthogonal complement of  $\mathcal{H}$  by  $g$ .



# sub-Riemannian Geometry versus Riemannian Geometry

- Riemannian geometry is distinguished by the Riemannian metric  $g$  and thus a definition of distance in all directions.
- sub-Riemannian geometry has a notion of distance, but only in some directions.
- One major goal of sub-Riemannian geometry is to recover generalizations of Riemannian results.

# Motivating Example: Hopf Fibration

Consider  $\mathbb{S}^{2n+1}$  foliated as

$$\mathbb{S}^1 \xhookrightarrow{\iota} \mathbb{S}^{2n+1} \xrightarrow{\pi} \mathbb{C}P^n$$

We can understand horizontal distribution as

$$\mathcal{H}_x \cong T_{\pi(x)}\mathbb{C}P^n$$

the space  $(\mathbb{S}^{2n+1}, \mathcal{H}, g)$  is an sRmc-manifold, foliated with leaves

$$\mathcal{V}_{\iota(x)} \cong T_x\mathbb{S}^1$$

# Gromov-Hausdorff Convergence

For a sRmc-manifold  $(\mathbb{M}, \mathcal{H}, g)$  we define the canonical variation of the metric

$$g_\varepsilon = g_{\mathcal{H}} + \frac{1}{\varepsilon} g_{\mathcal{V}}$$

which in the Gromov-Hausdorff sense

$$(\mathbb{M}, \mathcal{H}, g_\varepsilon) \xrightarrow{\varepsilon \rightarrow 0^+} (\mathbb{M}, \mathcal{H}, g_{\mathcal{H}})$$

One key idea of this project is to leverage our knowledge of the Riemannian structure to understand the sub-Riemannian one.

# Hladky-Bott Connection

## Theorem (Hladky '12)

*There exists a unique metric connection  $\nabla$  on  $(\mathbb{M}, \mathcal{H}, g)$  such that*

- ①  $\mathcal{H}$  and  $\mathcal{V}$  are  $\nabla$ -parallel,
- ② The torsion  $T$  of  $\nabla$  satisfies
  - $T(\mathcal{H}, \mathcal{H}) \subset \mathcal{V}$ ,
  - $T(\mathcal{V}, \mathcal{V}) \subset \mathcal{H}$
- ③ For every  $X, Y \in \Gamma(\mathcal{H}), Z, V \in \Gamma(\mathcal{V})$ ,
  - $\langle T(X, Z), Y \rangle_{\mathcal{H}} = \langle T(Y, Z), X \rangle_{\mathcal{H}}$
  - $\langle T(Z, X), V \rangle_{\mathcal{V}} = \langle T(V, X), Z \rangle_{\mathcal{V}}$ .

This is called the Hladky-Bott connection.

# Hladky-Bott Connection

We can explicitly write  $\nabla$  in terms of the Levi-Civita connection  $\nabla^g$  as

$$\nabla_X Y = \begin{cases} \pi_{\mathcal{H}} \nabla_X^g Y & X, Y \in \Gamma(\mathcal{H}) \\ \pi_{\mathcal{H}}[X, Y] + A_X Y & Y \in \Gamma(\mathcal{H}), X \in \Gamma(\mathcal{V}) \\ \pi_{\mathcal{V}}[X, Y] + A_X Y & Y \in \Gamma(\mathcal{V}), X \in \Gamma(\mathcal{H}) \\ \pi_{\mathcal{V}} \nabla_X^g Y & X, Y \in \Gamma(\mathcal{V}) \end{cases}$$

where the tensor  $A$  is defined by

$$\langle A_X Y, Z \rangle = \frac{1}{2} ((\mathcal{L}_{X_{\mathcal{V}}} g)(Y_{\mathcal{H}}, Z_{\mathcal{H}}) + (\mathcal{L}_{X_{\mathcal{H}}} g)(Y_{\mathcal{V}}, Z_{\mathcal{V}}))$$

# Bundle-like Metrics and Totally Geodesic Foliations

There are two important properties we will require:

- Bundle-like metric: A foliation is said to have a bundle-like metric if the metric locally splits orthogonally. This is equivalent to

$$\mathcal{L}_{\mathcal{V}}g(\mathcal{H}, \mathcal{H}) = 0$$

- Totally geodesic foliation: A foliation is said to be totally geodesic if the geodesics of the fibers are embedded geodesics of the total space. This is equivalent to

$$\mathcal{L}_{\mathcal{H}}g(\mathcal{V}, \mathcal{V}) = 0$$

# J Map

On  $(\mathbb{M}, \mathcal{H}, g)$  we can associate to each vector field  $Z \in \Gamma(T\mathbb{M})$  an endomorphism  $J_Z$  of  $T\mathbb{M}$  defined by

$$\langle J_Z X, Y \rangle = \langle Z, T(X, Y) \rangle$$

If  $\mathcal{V}$  is integrable,

$$\begin{cases} J_Z X \in \mathcal{H} & \text{if } Z \in \mathcal{V}, X \in \mathcal{H} \\ J_Z X = 0 & \text{otherwise} \end{cases}$$

We thus take the perspective

$$J: \mathcal{V} \rightarrow \text{End}(\mathcal{H}), \quad Z \mapsto J_Z$$

# H-type Foliations

## Definition

Let  $(\mathbb{M}, \mathcal{H}, g)$  be a sRmc-manifold. We say that  $(\mathbb{M}, \mathcal{H}, g, J)$  is an H-type foliation if

- 1  $(\mathbb{M}, \mathcal{V}, g)$  is a totally geodesic foliation with bundle-like metric,
- 2  $\mathcal{V}$  is integrable, and
- 3 for all  $X, Y \in \Gamma(\mathcal{H}), Z \in \Gamma(\mathcal{V})$ ,

$$\langle J_Z X, J_Z Y \rangle_{\mathcal{H}} = \|Z\|^2 \langle X, Y \rangle_{\mathcal{H}}$$



# Parallel Torsion

We also refine the definition of H-type foliations based on the behavior of derivatives of the Hladky-Bott torsion  $T$ .

- If  $\delta_{\mathcal{H}}T = 0$  we say  $\mathbb{M}$  is of Yang-Mills type,
- If  $\nabla_{\mathcal{H}}T = 0$  we say  $\mathbb{M}$  has horizontally parallel torsion, and
- If  $\nabla T = 0$  we say  $\mathbb{M}$  has completely parallel torsion.

## Lemma

*All H-type foliations are Yang-Mills.*

## Example: Twistor Spaces

Let  $(\mathbb{M}, g)$  be a  $4n$ -dimensional ( $n \geq 2$ ) quaternionic-Kähler manifold, and fix a quaternionic structure  $E$  spanned by  $\mathcal{I}, \mathcal{J}, \mathcal{K} \in \text{End}(T\mathbb{M})$ . Choosing a metric on  $E$  so that  $\mathcal{I}, \mathcal{J}, \mathcal{K}$  are orthonormal, we define the twistor space over  $\mathbb{M}$  to be the unit sphere bundle of  $E$ .

In this case, we have  $\mathcal{H}_x \cong T_x\mathbb{M}$ ,  $m = \dim \mathcal{V} = 2$ , and there is a quaternionic structure induced by  $\mathcal{V}$  acting on  $\mathcal{H}$ .

# Examples

Structure	Torsion
<b>Complex Type, <math>m = 1, n = 2k</math></b>	
K-Contact Manifolds	YM
Heisenberg Group, Hopf, Anti de-Sitter Fibrations	CP
<b>Twistor Type, <math>m = 2, n = 4k</math></b>	
Twistor space over quaternionic Kähler manifold	HP
Projective Twistor space $\mathbb{C}P^1 \hookrightarrow \mathbb{C}P^{2k+1} \rightarrow \mathbb{H}P^k$	HP
Hyperbolic Twistor space $\mathbb{C}P^1 \hookrightarrow \mathbb{C}H^{2k+1} \rightarrow \mathbb{H}H^k$	HP
<b>Quaternionic Type, <math>m = 3, n = 4k</math></b>	
3-Sasakian Manifolds	HP
Torus bundle over hyperkähler manifolds	CP
Quaternionic Heisenberg Group, Hopf, and Anti-de Sitter Fibrations	CP/HP
<b>Octonionic Type, <math>m = 7, n = 8</math></b>	
Octonionic Heisenberg Group, Hopf, and Anti-de Sitter Fibrations	CP/HP
<b>H-type Groups, <math>m</math> is arbitrary</b>	CP

# Dimensional Restrictions

For unit  $Z \in \mathcal{V}$ , the maps  $J_Z$  will induce complex, quaternionic, and octonionic structures on  $\mathcal{H}$ . As a consequence,

## Lemma

Denote  $m = \text{rk}(\mathcal{V})$ ,  $n = \text{rk}(\mathcal{H})$ . Then

- 1  $m \leq n - 1$ ,
- 2  $m = n - 1$  implies  $n = 2, 4$ , or  $8$ ,
- 3  $n = 2k$ , and furthermore
  - if  $m \geq 2$  then  $n = 4k$ ,
  - if  $m \geq 4$  then  $n = 8k$ .

# Clifford Structures

Let  $(\mathbb{M}, \mathcal{H}, g)$  be an H-type foliation with  $Z_i, Z_j \in \mathcal{V}$ , then

$$J_{Z_i} J_{Z_j} + J_{Z_j} J_{Z_i} = -2\langle Z_i, Z_j \rangle \text{Id}_{\mathcal{H}}$$

and so we can extend  $J$  in the natural way to

$$J: Cl(\mathcal{V}) \rightarrow \text{End}(\mathcal{H})$$

There is a classification of such Clifford structures over Riemannian manifolds. (A. Moroianu, U. Semmelmann '11)

# Parallel Horizontal Clifford Structures

Arising from Riemannian or semi-Riemannian foliations with curvature constancy we have the following notion:

## Definition

Let  $(\mathbb{M}, \mathcal{H}, g)$  be an H-type foliation with horizontally parallel torsion. Then if there exists a map

$$\Psi: \mathcal{V} \times \mathcal{V} \rightarrow Cl_2(\mathcal{V})$$

such that

$$(\nabla_{Z_1} J)_{Z_2} = J_{\Psi(Z_1, Z_2)}$$

for all  $Z_1, Z_2 \in \Gamma(\mathcal{V})$  then we say that  $\mathbb{M}$  has a parallel horizontal Clifford structure.

This has a strong relationship with the horizontal holonomy of the space.

## Parallel Horizontal Clifford Structures

Moreover, these structures are rigid in that they must be of the following form:

**Lemma (Baudoin, Grong, Rizzi, & M. '18)**

*Let  $(\mathbb{M}, \mathcal{H}, g)$  be an H-type foliation with parallel horizontal Clifford structure. There exists  $\kappa \in \mathbb{R}$  such that*

$$\Psi(Z_1, Z_2) = -\kappa(Z_1 \cdot Z_2 + \langle Z_1, Z_2 \rangle)$$

*for all  $Z_1, Z_2 \in \Gamma(\mathcal{V})$ ; moreover the sectional curvature of the leaves associated to  $\mathcal{V}$  is constant and equal to  $\kappa^2$ .*

# The Einstein Property

## Definition

Let  $(\mathbb{M}, g)$  be a Riemannian manifold. We say that  $\mathbb{M}$  is an Einstein manifold if there exists some constant  $\lambda \in \mathbb{R}$  such that

$$\langle X, Y \rangle = \lambda \operatorname{Ric}(X, Y)$$

for all  $X, Y \in \Gamma(T\mathbb{M})$ .



# Einstein Manifolds

- Originate in physics, as solutions to the Einstein field equations in vacuum.
- Mathematically, these are of great interest as model spaces.
- Ideal for computation, while still including a large class of structures.
- Include Euclidean space, complex projective spaces, and Calabi-Yau manifolds

# Horizontal Ricci Curvature

Let  $(\mathbb{M}, \mathcal{H}, g^\epsilon)$  be a sub-Riemannian manifold with metric preserving complement equipped with the canonical variation.

Unfortunately, in the limit  $\epsilon \rightarrow 0^+$  the Ricci curvature associated to  $\nabla$  is not well defined. c.f. (Baudoin, Kim, Wang '16).

# Horizontal Ricci Curvature

We define the horizontal and vertical Riemann curvature tensors by

$$R_{\mathcal{H}}(X, Y)Z = R^{\nabla}(X_{\mathcal{H}}, Y_{\mathcal{H}})Z_{\mathcal{H}},$$

$$R_{\mathcal{V}}(X, Y)Z = R^{\nabla}(X_{\mathcal{V}}, Y_{\mathcal{V}})Z_{\mathcal{V}},$$

from which it will follow that

$$R^{\nabla}(X, Y)Z = R_{\mathcal{H}}(X, Y)Z + R_{\mathcal{V}}(X, Y)Z + (\nabla_Z T)(X, Y)$$

# Horizontal Ricci Curvature

## Definition

The horizontal Ricci curvature  $\text{Ric}_{\mathcal{H}}$  is the horizontal trace of  $R_{\mathcal{H}}$ :

$$\text{Ric}_{\mathcal{H}}(X, Y) = \sum_{i=1}^n R_{\mathcal{H}}(X, X_i, Y, X_i)$$

where  $X_1, \dots, X_n$  is a local orthonormal frame for  $\mathcal{H}$ .

# The Horizontal Einstein Property

We can now define an analog of the Einstein property in our setting:

## Definition

Let  $(\mathbb{M}, \mathcal{H}, g_{\mathcal{H}})$  be a sub-Riemannian manifold. We say that  $\mathbb{M}$  has the horizontal Einstein property if there exists some constant  $\lambda \in \mathbb{R}$  such that

$$\langle X, Y \rangle_{\mathcal{H}} = \lambda \operatorname{Ric}_{\mathcal{H}}(X, Y)$$

for all  $X, Y \in \Gamma(\mathcal{H})$ .

# The Horizontal Einstein Property

## Theorem (Baudoin, Grong, Rizzi, & M. '18)

Let  $(\mathbb{M}, \mathcal{H}, g)$  be an  $H$ -type foliation with parallel horizontal Clifford structure, with  $m \geq 2$ . Then  $\mathbb{M}$  is horizontally Einstein with

$$\lambda = \begin{cases} \kappa \left( \frac{n}{4} + 2(m-1) \right) & m \neq 3 \text{ or } m = 3 \text{ quaternionic} \\ \kappa \left( \frac{n}{4} + 4 + \frac{1}{4} D^{\mathcal{H}} \sigma \right) & m = 3 \text{ nonquaternionic} \end{cases}$$

where in the nonquaternionic case we have the orthogonal splitting  $\mathcal{H} = \mathcal{H}^+ \oplus \mathcal{H}^-$ , we define the constant  $D^{\mathcal{H}} = \dim \mathcal{H}^+ - \dim \mathcal{H}^-$  and  $\sigma = Id_{\mathcal{H}^+} \oplus (-Id_{\mathcal{H}^-})$ .

## $J^2$ condition

In many model cases, such as the Complex-, Quaternionic-, and Octonionic-Hopf fibrations we have a stronger property:

### Definition

Let  $(\mathbb{M}, \mathcal{H}, g)$  be an H-type foliation. We say that it satisfies the  $J^2$  condition if for every  $Z_1, Z_2 \in \mathcal{V}$  with  $\langle Z_1, Z_2 \rangle = 0$  there exists  $Z_3 \in \mathcal{V}$  such that

$$J_{Z_1} J_{Z_2} = J_{Z_3}$$

The H-type groups with this property were classified by (M. Cowling, A.H. Dooley, A. Korányi, and F. Ricci '91)

# Metric Connections, Jacobi Equation

For this section of the talk, let  $(M, \mathcal{H}, g_\varepsilon)$

- be a sRmc-manifold,
- equipped with the canonical variation  $g_\varepsilon$ ,
- having horizontally parallel torsion,
- and satisfying the  $J^2$  condition.

For any  $\varepsilon > 0$  the connection

$$\hat{\nabla}_X^\varepsilon Y = \nabla_X Y + J_X^\varepsilon Y$$

will be metric with metric adjoint  $\nabla^\varepsilon$ . For a  $g_\varepsilon$ -geodesic  $\gamma$ , the Jacobi equation in this setting is

$$\hat{\nabla}_{\dot{\gamma}}^\varepsilon \nabla_{\dot{\gamma}}^\varepsilon W + \hat{R}^\varepsilon(W, \dot{\gamma})\dot{\gamma} = 0$$



# The Comparison Principle

## Theorem (Baudoin, Grong, Kuwada, & Thalmaier '17)

- Let  $x, y \in \mathbb{M}$ ,
- $\gamma: [0, r_\varepsilon] \rightarrow \mathbb{M}$  a unit speed  $g_\varepsilon$ -geodesic connecting  $x, y$ , and
- $W_1, \dots, W_k$  be a collection of vector fields along  $\gamma$  such that

$$\sum_{i=0}^k \int_0^{r_\varepsilon} \langle \hat{\nabla}_{\dot{\gamma}}^\varepsilon \nabla_{\dot{\gamma}}^\varepsilon W_i + \hat{R}^\varepsilon(W_i, \dot{\gamma})\dot{\gamma}, W_i \rangle_\varepsilon \geq 0$$

then at  $y = \gamma(r_\varepsilon)$  it holds that

$$\sum_{i=0}^k \text{Hess}^{\hat{\nabla}^\varepsilon}(r_\varepsilon)(W_i, W_i) \leq \sum_{i=0}^k \langle W_i, \hat{\nabla}_{\dot{\gamma}}^\varepsilon W_i \rangle_\varepsilon$$

with equality if and only if the  $W_i$  are Jacobi fields.

# Horizontal Splitting

We introduce an orthogonal splitting of the horizontal bundle.  
Fixing a vector field  $Y \in \mathcal{H}$ ,

$$\mathcal{H} = \text{span}(Y) \oplus \mathcal{H}_{Riem}(Y) \oplus \mathcal{H}_{Sas}(Y)$$

where

$$\mathcal{H}_{Sas}(Y) = \{J_Z Y \mid Z \in \mathcal{V}\}$$

$$\mathcal{H}_{Riem}(Y) = \{X \in \mathcal{H} \mid X \perp \mathcal{H}_{Sas} \oplus \text{span}(Y)\}$$

## Lemma

Denoting  $n = \text{rk}(\mathcal{H})$ ,  $m = \text{rk}(\mathcal{V})$ , we will have

$$\dim(\mathcal{H}_{Sas}) = m, \quad \dim(\mathcal{H}_{Riem}) = n - m - 1$$

# Riemannian Comparison Theorem

On a Riemannian manifold assume there exists  $\kappa$  such that

$$\text{Ric}(X, Y) \geq (n-1)\kappa g(X, Y)$$

- Laplacian (Rauch) Comparison Theorem:

$$\Delta r \leq \begin{cases} (n-1)\sqrt{\kappa} \cot(\sqrt{\kappa}r) & \kappa > 0 \\ \frac{n-1}{r} & \kappa = 0 \\ (n-1)\sqrt{|\kappa|} \coth(\sqrt{|\kappa|}r) & \kappa < 0 \end{cases}$$

- Bonnet-Meyers Diameter Estimates: If  $\kappa > 0$  then

$$\text{diam}(\mathbb{M}) \leq \frac{\pi}{\sqrt{\kappa}}$$

and the fundamental group of  $\mathbb{M}$  must be finite.

# Comparison Functions

Similarly to the Riemannian case, we consider the comparison functions

$$F_{Riem}(r, \kappa) = \begin{cases} \sqrt{\kappa} \cot(\sqrt{\kappa}r) & \text{if } \kappa > 0 \\ \frac{1}{r} & \text{if } \kappa = 0 \\ \sqrt{|\kappa|} \coth(\sqrt{|\kappa|}r) & \text{if } \kappa < 0 \end{cases}$$

$$F_{Sas}(r, \kappa) = \begin{cases} \frac{\sqrt{\kappa}(\sin(\sqrt{\kappa}r) - \sqrt{\kappa}r \cos(\sqrt{\kappa}r))}{2 - 2 \cos(\sqrt{\kappa}r) - \sqrt{\kappa}r \sin(\sqrt{\kappa}r)} & \text{if } \kappa > 0 \\ \frac{4}{r} & \text{if } \kappa = 0 \\ \frac{\sqrt{\kappa}(\sqrt{\kappa}r \cosh(\sqrt{\kappa}r) - \sinh(\sqrt{\kappa}r))}{2 - 2 \cosh(\sqrt{\kappa}r) + \sqrt{\kappa}r \sinh(\sqrt{\kappa}r)} & \text{if } \kappa < 0 \end{cases}$$

These comparison functions will correspond to the splitting of  $\mathcal{H}$ .

# Hessian Comparisons

## Theorem (Baudoin, Grong, Rizzi, & M. '19)

- Let  $\gamma: [0, r_\varepsilon] \rightarrow \mathbb{M}$  be a  $g_\varepsilon$ -geodesic. Then

$$\text{Hess}(r_\varepsilon)(\dot{\gamma}, \dot{\gamma}) \leq \frac{\|\dot{\gamma}\|^2 (1 - \|\dot{\gamma}\|^2)}{r_\varepsilon}$$

- If  $\text{Sec}(X \wedge Y) \geq \rho > 0$  for all unit  $X, Y \in \mathcal{H}_{\text{Riem}}(\dot{\gamma})$ , then

$$\text{Hess}(r_\varepsilon)(X, X) \leq F_{\text{Riem}}(r_\varepsilon, K)$$

- If  $\text{Sec}(X \wedge J_Z X) \geq \rho > 0$  for all unit  $X \in \mathcal{H}_{\text{Sas}}(\dot{\gamma})$ , then

$$\text{Hess}(r_\varepsilon)(X, X) \leq F_{\text{Sas}}(r_\varepsilon, K)$$

Where  $K$  is a constant depending on  $\rho, \varepsilon, \|\nabla_{\mathcal{V}} r_\varepsilon\|$ , and  $\|\nabla_{\mathcal{H}} r_\varepsilon\|$ .

# Horizontal Ricci Curvature

We can define the horizontal Ricci curvature as the trace of the Riemann tensor,

$$\begin{aligned}\operatorname{Ric}_{\mathcal{H}}(X, X) &= \sum_{i=0}^n \langle R^{\nabla}(W_i, X)X, W_i \rangle \\ &= \langle R^{\nabla}(Y, X)X, Y \rangle + \operatorname{Ric}_{Sas}(X, X) + \operatorname{Ric}_{Riem}(X, X)\end{aligned}$$

where the splitting corresponds to the decomposition

$$\mathcal{H} = \operatorname{span}(Y) \oplus \mathcal{H}_{Sas} \oplus \mathcal{H}_{Riem}$$

# Diameter Estimates

## Theorem (Baudoin, Grong, Rizzi, & M. '19)

Let  $\rho > 0$ . Then for unit  $X \in \mathcal{H}$ ,

$$\textcircled{1} \quad \frac{\text{Ric}_{\text{Riem}}(X, X)}{n - m - 1} \geq \rho \implies \text{diam}_0(\mathbb{M}) \leq \frac{\pi}{\sqrt{\rho}}$$

$$\textcircled{2} \quad \text{Sec}(X \wedge J_Z X) \geq \rho \implies \text{diam}_0(\mathbb{M}) \leq \frac{2\pi}{\sqrt{\rho}}$$

$$\textcircled{3} \quad \frac{\text{Ric}_{\text{Sas}}(X, X)}{m} \geq \rho \implies \text{diam}_0(\mathbb{M}) \leq \frac{2\pi\sqrt{3}}{\sqrt{\rho}}$$

and in each case the fundamental group of  $\mathbb{M}$  must be finite.

The first two of these are sharp, as they are achieved in the complex, quaternionic, and octonionic Hopf fibrations.

# sub-Laplacian

Similarly to the horizontal Ricci curvature, we can define the sub-Laplacian as the trace of the Hessian. For the distance function  $r_\varepsilon$  along a geodesic  $\gamma$  with  $Y = \nabla_{\mathcal{H}} r_\varepsilon$ ,

$$\begin{aligned} \Delta_{\mathcal{H}} r_\varepsilon &= \sum_{i=0}^n \text{Hess}(r_\varepsilon)(W_i, W_i) \\ &= \text{Hess}(r_\varepsilon)(Y, Y) + \sum_{i=0}^m \text{Hess}(r_\varepsilon)(J_{Z_i} Y, J_{Z_i} Y) + \sum_{i=0}^{n-m-1} \text{Hess}(r_\varepsilon)(W_i, W_i) \end{aligned}$$

for appropriate bases  $\{W_i\}$  of  $\mathcal{H}$  and  $\{Z_i\}$  of  $\mathcal{V}$ . This splitting corresponds again to the decomposition

$$\mathcal{H} = \text{span}(Y) \oplus \mathcal{H}_{Sas} \oplus \mathcal{H}_{Riem}$$



# Laplacian Comparisons

In each component of the horizontal decomposition we can use the previous comparisons on the Hessian to obtain

**Theorem (Baudoin, Grong, Rizzi, & M. '19)**

*Let  $(\mathbb{M}, g, \mathcal{H})$  be an H-type foliation with parallel horizontal Clifford structure and satisfying the  $J^2$  condition, and with nonnegative horizontal Bott curvature. Then there exists a  $C > 4$  such that*

$$\Delta_{\mathcal{H}} r_0 \leq \frac{n - m + 3 + C(m - 1)}{r_0}$$

This is not sharp, but we can recover sharp estimates in each subspace.

# Parallel Transport

Equipped with a connection, we can define the notion of parallel transport of vector fields.

Let  $\gamma$  be a curve in  $\mathbb{M}$ . We say that a vector field  $X$  is parallel along  $\gamma$  if

$$\nabla_{\gamma'} X = 0$$

Given a curve  $\gamma$  from  $p$  to  $q$  and a  $\gamma$ -parallel vector field  $X$ , we say that  $X_q$  is the parallel transport of  $X_p$  along  $\gamma$ , which we denote

$$\begin{aligned} \tau_\gamma: T_p\mathbb{M} &\rightarrow T_q\mathbb{M} \\ X_p &\mapsto \tau_\gamma X_p = X_q \end{aligned}$$

# Holonomy

We can use this to construct a family of isomorphisms of the tangent spaces of interest:

## Definition

Fix a point  $p \in \mathbb{M}$  and consider all possible curves  $\gamma$  in  $\mathbb{M}$  that both start and end at  $p$ . Associated to each  $\gamma$  there is an automorphism of  $T_p\mathbb{M}$  determined by the parallel transport. We call the collection of automorphisms the Riemannian holonomy group of  $\mathbb{M}$  at  $p$ , denoted  $\mathbf{Hol}(\mathbb{M}, p)$ .

# Immediate Properties of the Holonomy Group

- We are justified in calling this a group, since concatenation of loops is again a loop.
- If  $\gamma$  is a path connecting  $p$  to  $q$ , we see that

$$\mathbf{Hol}(\mathbb{M}, p) = \tau_\gamma^{-1} \mathbf{Hol}(\mathbb{M}, q) \tau_\gamma$$

and so the holonomy group  $\mathbf{Hol}(\mathbb{M})$  is independent of the basepoint (on each path-connected component of  $\mathbb{M}$ ).

- Since parallel transport is a linear isometry, it is clear that

$$\mathbf{Hol}(\mathbb{M}) \subseteq O(n)$$

# Berger's Theorem

- deRham Theorem: We need only consider irreducible holonomy groups.
- Ambrose-Singer Theorem: We can reduce the study of holonomy groups to the study of curvature endomorphisms.
- Using Cartan's classification of irreducible linear real representations of real Lie groups, Berger classified all possible Riemannian manifolds by their holonomy:

## Theorem (Berger)

*Suppose  $(M, g)$  is a Riemannian manifold such that  $\text{Hol}^0(M)$  is irreducible. Then at least one of the following is satisfied:*

- $\text{Hol}^0(M)$  acts transitively on the sphere, or
- $M$  is a locally symmetric space of rank greater than or equal to 2.

# Symmetric Case

## Definition

A Riemannian manifold  $\mathbb{M}$  is called symmetric if for each point  $p \in \mathbb{M}$  there exists an isometry  $f_p: \mathbb{M} \rightarrow \mathbb{M}$  such that

- $f_p(p) = p$
- $T_p(f_p) = -Id_{T_p\mathbb{M}}$

There is a complete classification due to Cartan of all symmetric Riemannian spaces.

# Symmetric Case

## Theorem (Cartan)

*For complete Riemannian manifolds  $\mathbb{M}$ , the following are equivalent:*

- *The manifold is symmetric,*
- $\nabla R = 0$ ,
- *The manifold is a homogenous space  $\mathbb{M} = G/H$  where  $G$  is a Lie group, and  $H$  is a compact subgroup of  $G$ .*

This relates to the holonomy group in the following way:

## Theorem

*For an irreducible simply connected symmetric manifold  $\mathbb{M} = G/H$ , we have that  $\mathbf{Hol}(\mathbb{M}) = H$  acting by the adjoint representation.*

# Nonsymmetric Case

## Theorem (Berger, Simons)

For an irreducible simply connected nonsymmetric manifold  $\mathbb{M}$ , one of the following cases occurs:

$\dim(\mathbb{M})$	$\mathbf{Hol}^0(\mathbb{M})$	Type
$n$	$O(n)$	Generic
$n$	$SO(n)$	Oriented
$n = 2m$	$U(m)$	Kähler
$n = 2m$	$SU(m)$	Calabi-Yau
$n = 4m$	$Sp(m) \cdot Sp(1)$	Quaternion-Kähler
$n = 4m$	$Sp(m)$	Hyperkähler
$n = 7$	$G_2$	
$n = 8$	$Spin(7)$	



# H-type Submersions

In the case that the H-type foliation  $(\mathbb{M}, \mathcal{H}, g)$  is induced by a global submersion

$$F \hookrightarrow \mathbb{M} \rightarrow \mathbb{B}$$

we refer to the structure  $(\mathbb{M}, \mathcal{H}, g, \mathbb{B})$  as an H-type submersion with fiber  $F$ .

- These are models for the H-type foliations in the sense that every foliation is locally a submersion.
- We have a complete classification of H-type submersions with horizontally parallel Clifford structure.

# Classification of H-type submersions with horizontally parallel Clifford structure, $\kappa > 0$

M	B	Fiber	rank( $\mathcal{H}$ )	rank( $\mathcal{V}$ )
Twistor space	Quaternion-Kähler with positive scalar curvature	$\mathbb{S}^2$	4k	2
3-Sasakian	Quaternion-Kähler with positive scalar curvature	$\mathbb{S}^3$	4k	3
Quaternion-Sasakian	Product of two quaternion-Kähler with positive scalar curvature	$\mathbb{R}P^3$	4k	3
$\frac{\mathbb{S}p(q^++1) \times \mathbb{S}p(q^-+1)}{\mathbb{S}p(q^+) \times \mathbb{S}p(q^-) \times \mathbb{S}p(1)}$	$\mathbb{H}P^{q^+} \times \mathbb{H}P^{q^-}$	$\mathbb{S}^3$	$4(q^+ + q^-)$	3
$\frac{\mathbb{S}p(k+2)}{\mathbb{S}p(k) \times \mathbb{S}pin(4)}$	$\frac{\mathbb{S}p(k+2)}{\mathbb{S}p(k) \times \mathbb{S}p(2)}$	$\mathbb{S}^4$	8k	4
$\frac{\mathbb{S}U(k+4)}{\mathbb{S}U(k) \times \mathbb{S}p(2) \times \mathbb{U}(1)}$	$\frac{\mathbb{S}U(k+4)}{\mathbb{S}U(k) \times \mathbb{U}(4)}$	$\mathbb{R}P^5$	8k	5
$\frac{\mathbb{S}O(k+8)}{\mathbb{S}O(k) \times \mathbb{S}pin(7)}$	$\frac{\mathbb{S}O(k+8)}{\mathbb{S}O(k) \times \mathbb{S}O(8)}$	$\mathbb{R}P^7$	$8k, k \geq 3,$ k odd	7
$\frac{\mathbb{S}pin(k+8)}{\mathbb{S}O(k) \times \mathbb{S}pin(7)}$	$\frac{\mathbb{S}O(k+8)}{\mathbb{S}O(k) \times \mathbb{S}O(8)}$	$\mathbb{S}^7$	$8k, k = 1,$ k even	7
Exceptional cases				
$\frac{F_4}{\mathbb{S}pin(8)}$	$\frac{F_4}{\mathbb{S}pin(9)} = \mathbb{O}P^2$	$\mathbb{S}^8$	16	8
$\frac{E_6}{\mathbb{S}pin(8) \times \mathbb{U}(1)}$	$\frac{E_6}{\mathbb{S}pin(10) \times \mathbb{U}(1)} = (\mathbb{C} \otimes \mathbb{O})P^2$	$\mathbb{S}^9$	32	9
$\frac{E_7}{\mathbb{S}pin(11) \times \mathbb{S}U(2)}$	$\frac{E_7}{\mathbb{S}pin(12) \times \mathbb{S}U(2)} = (\mathbb{H} \otimes \mathbb{O})P^2$	$\mathbb{S}^{11}$	64	11
$\frac{E_8}{\mathbb{S}pin(15)}$	$\frac{E_8}{\mathbb{S}pin^+(16)} = (\mathbb{O} \otimes \mathbb{O})P^2$	$\mathbb{S}^{15}$	128	15

# Horizontal Holonomy

Because the Bott connection preserves the horizontal distribution (independently of  $\varepsilon$ ) we can understand that H-type foliations have a notion of horizontal holonomy.

## Definition

Let  $(\mathbb{M}, \mathcal{H}, g)$  be an H-type foliation. Fix a point  $p \in \mathbb{M}$ , and consider all loops  $\gamma$  starting and ending at  $p$ . Each curve defines an isometry

$$\tau_\gamma: \mathcal{H}_p \rightarrow \mathcal{H}_p$$

the collection of which forms a group under concatenation, called the horizontal holonomy group  $\mathbf{Hol}^\nabla(\mathbb{M}, \mathcal{H})$  of  $(\mathbb{M}, \mathcal{H}, g)$ .

This is independent of the choice of  $p \in \mathbb{M}$ .

# Horizontal Holonomy of H-type Submersions

In particular, the holonomy of H-type submersions can be understood very concretely:

## Theorem

*Let  $(\mathbb{M}, \mathcal{H}, g, \mathbb{B})$  be an H-type submersion. Then*

$$\mathbf{Hol}^\nabla(\mathbb{M}, \mathcal{H}) \cong \mathbf{Hol}(\mathbb{B})$$

*where  $\mathbf{Hol}(\mathbb{B})$  denotes the Riemannian holonomy group of  $\mathbb{B}$  equipped with the Levi-Civita connection induced by  $g_{\mathcal{H}}$ .*

# List of Horizontal Holonomy Groups

From this, we are able to ascertain a complete list of horizontal holonomy groups that occur for H-type submersions; For those with  $\kappa > 0$ :

$$\mathbb{S}(n)\mathbb{S}(1)$$

$$\mathbb{S}(n) \times \mathbb{S}(2)$$

$$S(U(n) \times U(4))$$

$$SO(n) \times SO(8)$$

$$Spin(9)$$

$$Spin(10)U(1)$$

$$Spin(12)SU(2)$$

$$Spin^+(16)$$

Thank you for your attention!